

## Mark Wu , Ph.D., CFA

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Mario J. Gabelli School of Business  
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### Education

Ph.D., in Finance, 2014  
**Binghamton University**  
**State University of New York**, Binghamton, NY

B.S., *cum laude*, 2009  
Major: Applied Economics and Management  
**Cornell University**, Ithaca, NY

**Associate Professor of Finance**

"Closed-End Fund Discount and Taxes", with Shishir Paudel and Sabatino (Dino) Silveri, *Review of Financial Economics*, 2023, 41, 269-282.

"Investor Sentiment and Asset Prices: Evidence from the Ex-Day", with Shishir Paudel and Sabatino (Dino) Silveri, *Journal of Banking and Finance*, 2022, 139, 106492.

"Nasdaq Ex-Day Behavior: An Out-of-Sample Test", with Shishir Paudel and Sabatino (Dino) Silveri, *Review of Financial Economics*, 2020, 38, 405-420.

"Bond Auctions", with Patrick Herb and Shishir Paudel, in Baker, H., Filbeck, G. and Spieler, A. (Eds.), *Debt Markets and Investments*, 2019, Oxford University Press.

"Factors Affecting Bond Pricing and Valuation", with Xiang Gao and Robert Wiczorek, in Baker, H., Filbeck, G. and Spieler, A. (Eds.), *Debt Markets and Investments*, 2019, Oxford University Press.

"Pre-Auction Inventory and Bidding Behavior: Evidence from Canadian Treasury Auctions", with Kristian Rydqvist, *Journal of Financial Markets*, 2016, 30, 78-102.

"Bank Runs and the Accounting for Illiquid Assets in Financial Institutions", with Anthony Meder, Steven Schwartz and Richard Young, *Accounting Education*, 2014, 23, 277-294.

Working  
Papers and  
Research in  
Progress

"Investor Sentiment and Bid-Ask Spreads: The Role of Retail Investors", with Shishir Paudel, Sabatino (Dino) Silveri, and Wei Sun.

"Institutional Rules, Bidding Behavior and Performance in the Treasury Market", with Antoine Noel.

"Does Carbon Emission Trading Improve Corporate ESG Performance: A Green Finance Perspective", with Jiayi Ding, Ruizhi Liu, and Matthew Tilly.

"The Puzzle of Overpriced Treasury Auctions", with Jose Miguel Costa, Jose Afonso Faias, and Patrick Herb.

Invited Seminars and Conference Presentations { International Conference in Finance, Banking and Accounting, 2023.\*  
{ European Financial Management Association Annual Conference, 2022.\*  
{ Financial Engineering and Banking Society Annual Conference, 2022.\*  
{ NEOMA Business School, 2022,\* 2019.\*

- { French Finance Association Annual Conference, 2021.\*
- { Ocean University of China, 2020.
- { University of Sydney Banking and Financial Stability Conference, 2019.
- { International Banking & Finance Research Conference, 2019.\*

- { Summer Institute in Finance, 2017.
- { Bank of Canada Fixed Income Conference, 2013.
- { NYU Stern Five-Star Conference, 2012.

## Teaching

*Gabelli School of Business, Roger Williams University:*

- FNCE 301 Financial Management, 2013{ .
- { Average teaching evaluation: **4.71**/5.00.
- FNCE 325 Investments, 2024, 2022.
- { Teaching evaluation: **4.80**/5.00.
- FNCE 401 Advanced Financial Management, 2013{ .
- { Average teaching evaluation: **4.78**/5.00.
- FNCE 415 Fixed Income Securities, 2016{ .
- { Average teaching evaluation: **4.83**/5.00.
- FNCE 550 Corporate Finance (MBA), 2018{ .
- { Average teaching evaluation: **4.80**/5.00.

*School of Management, Binghamton University:*

- FIN 320 Financial Markets and Institutions (two sections), Fall 2011.
- { Teaching evaluation: **3.95**/4.00 and **3.85**/4.00.

## Services

Department/School:

- { Curriculum Committee, 2022{ .
- { School Faculty Review Committee, 2023{2024.
- { Associate Dean Search Committee, 2023.
- { Faculty leader, MBA program's trip to Beijing and Shanghai, 2019; Undergraduate (CAFE program)'s trip to Hong Kong, 2015.
- { Faculty Search Committee, 2019{2020, 2017{2018, 2016{2017.
- { Faculty advisor, FMA student chapter, 2017{2020.
- { Finance area coordinator, 2017{2018.
- { Research Committee, 2014{2018.

University:

- { Graduate Advisory Council, 2024{ .
- { Sabbatical Committee, 2022{2023.
- { Professional Development Committee, 2017{2019.
- { Spiegel Center Advisory Committee, 2016{2019.

External:

- { CFA Society of Providence, 2022{ .
- { Media Interview, Providence Business News, 2023.
- { Advisory Committee, Efficient Capital Strategies LLC, 2016{2020.
- { Guest speaker, Fixed Income Markets (MBA), Hofstra University, 2016, 2014.

Honors and Awards { Nominee for Excellence in Teaching Award, Roger Williams University, 2024.  
 { Foundation Grant to Promote Scholarship and Teaching, Roger Williams University, 2024, 2021, 2019, 2018, 2017, 2016.  
 { CFA Institute Professor Scholarship, 2019, 2018, 2017.  
 { Finalist for Professor of the Semester, Roger Williams University Student Senate, 2015.  
 { The Graduate Student Award for Excellence in Teaching, Binghamton University, 2013.  
 { Dean's Honor Roll for Teaching, Binghamton University, 2012.  
 { State University of New York Research Foundation Enhancement Award, 2011{2013.  
 { Clifton Loomis Scholarship, Cornell University, 2008.

Industry Experience Financial Analyst, *Nomura Asset Management*, New York, 2008.  
 Modeling Analyst, *Wall Street Training Ltd.*, New York, 2007.

Professional Qualification Chartered Financial Analyst (CFA)